

METHOD OF PREPARING A RING COMPOUND  
HAVING TWO ADJACENT CHIRAL CENTERS

FIELD OF THE INVENTION

The present invention relates to a method  
5 of preparing a chiral compound having a stereogenic  
carbon atom adjacent to a nonstereogenic quaternary  
carbon atom bearing diastereotopic groups. A sub-  
sequent intramolecular reaction between one of the  
substituents comprising the stereogenic carbon atom  
10 and one of the diastereotopic groups comprising the  
quaternary carbon atom creates a new compound con-  
taining two contiguous stereogenic centers, one of  
which is quaternary, with control over the relative  
and absolute stereochemistry.

15 BACKGROUND OF THE INVENTION

Many organic compounds exist in optically  
active forms, i.e., they have the ability to rotate  
the plane of plane-polarized light. The different  
optically active forms of a compound are termed  
20 stereoisomers. A specific stereoisomer also can be  
referred to as an enantiomer, and a mixture of such  
stereoisomers often is called an enantiomeric, or  
racemic, mixture. For a given chemical compound,  
each of a pair of enantiomers are identical except  
25 that they are nonsuperimposable mirror images of one  
another.

Stereochemical purity is important in the  
pharmaceutical field, where many of the most often

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prescribed drugs exhibit chirality. For example, the L-enantiomer of the  $\beta$ -adrenergic blocking agent, propranolol, is known to be 100 times more potent than its D-enantiomer. Additionally, optical purity  
5 is important in the pharmaceutical drug field because certain stereoisomers impart a deleterious effect, rather than an advantageous or inert effect. For example, it is believed that the D-enantiomer of thalidomide is a safe and effective sedative when  
10 prescribed for the control of morning sickness during pregnancy, whereas its corresponding L-enantiomer is believed to be a potent teratogen.

Therefore, compounds that exhibit biological activity may contain one or more asymmetric  
15 carbon atoms. However, as stated above, one enantiomer of such a compound may exhibit excellent biological activity, whereas the other enantiomer may exhibit little biological activity, or may produce an undesired result. Accordingly, investigators  
20 strive to synthesize the biologically active enantiomer, while minimizing or eliminating synthesis of the inactive enantiomer.

The ability to selectively synthesize the desired enantiomer permits the preparation of a more  
25 useful drug product. For example, the administered dose of a drug can be reduced because only the active enantiomer is administered to an individual, as opposed to a racemic mixture which contains a large amount of the inactive enantiomer. This re-  
30 duced dose of active enantiomer also reduces adverse side effects compared to a dose of the racemic mix-

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ture. In addition, a stereoselective synthesis is more economical because a step of separating the active and inactive enantiomers is eliminated, and raw material wastes and costs are decreased because  
5 raw materials are not consumed in the synthesis of the inactive enantiomer.

A particularly difficult problem encountered in the synthesis of a biologically active compound is the preparation of a quaternary carbon atom  
10 having a desired stereochemistry. A "quaternary carbon" is defined as a carbon atom having four substituents other than hydrogen. A quaternary carbon atom is asymmetric when the four substituents each are different from one another. Numerous synthetic  
15 reactions are available to form carbon-carbon bonds, but the number of available reactions to generate a quaternary carbon is limited. Furthermore, the number of readily available compounds having a tertiary carbon (defined as a carbon atom having one  
20 hydrogen atom and three substituents that are not hydrogen) as a starting material to generate an asymmetric quaternary carbon are limited. The stereoselective preparation of a quaternary carbon is even more challenging, and is an active area of  
25 research.

Typically, the formation of a quaternary carbon atom is a multistep process. In addition, reactions used to form quaternary carbon atoms often lead to unwanted side reactions. For example, reac-  
30 tion of a tertiary alkyl halide with an enolate leads to extensive elimination by dehydrohalogena-

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tion rather than substitution. Some of the difficulties in preparing a quaternary carbon atom are disclosed in WO 00/15599; S.F. Martin, *Tetrahedron*, 36, pages 419-460 (1980); K. Fuji, *Chem. Rev.*, 93, pages 2037-2066 (1993); and E.J. Corey et al., *Angew. Chem. Int. Ed.*, 37, pages 388-401 (1998).

#### SUMMARY OF THE INVENTION

The present invention relates to a method of preparing a compound having a stereogenic carbon atom adjacent to a nonstereogenic carbon atom having diastereotopic groups. More particularly, the present invention is directed to a method of preparing a chiral compound having a stereogenic carbon atom of desired stereochemistry adjacent to a stereogenic quaternary carbon atom of desired stereochemistry by (a) reacting a nitroolefin with an  $\alpha$ -substituted  $\beta$ -dicarbonyl compound or an equivalent compound having an acidic C-H moiety, (b) subsequent reduction of the nitro group, (c) followed by intramolecular cyclization onto a substituent, and typically a carbonyl substituent, of the prochiral center at the quaternary carbon atom to provide a cyclic compound containing two adjacent stereogenic carbon atoms, one of which is quaternary, with control over the relative and absolute stereochemistry.

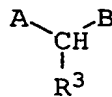
Prior investigators attempted to prepare a ring system containing a quaternary carbon atom of desired stereochemistry by performing a cyclization and alkylation sequence to generate the quaternary

- 5 -

carbon atom. These attempts led to racemic mixtures and side reactions that adversely affected reaction yield. The present method prepares chiral, and typically prochiral, quaternary carbon atoms prior to cyclization. A subsequent reduction and cyclization sequence provides a ring compound wherein a quaternary carbon atom of desired stereochemistry is positioned in a ring system adjacent to a chiral carbon of desired stereochemistry generated during a 1,3-dicarbonyl, or equivalent, addition.

More particularly, the present invention is directed to a method of preparing a compound having a stereogenic carbon atom of desired stereochemistry adjacent to a nonstereogenic quaternary carbon atom bearing diastereotopic groups by an addition reaction between a compound having a structural formula (I), and preferably a structural formula (Ia), and a nitroolefin (II) to yield a nitro compound (III), mediated by a catalyst complex comprising a ligand and a metal complex. The enantioselectivity of the addition is controlled by reaction conditions.

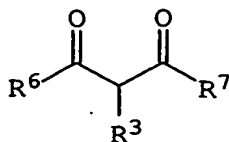
In one embodiment, the nitro (NO<sub>2</sub>)



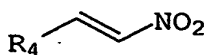
(I)

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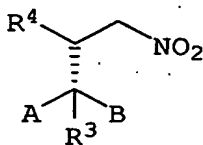
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(Ia)



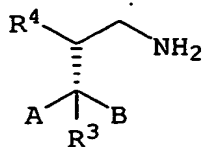
(II)



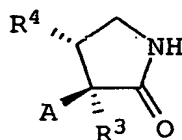
(III)

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 group of compound (III), or its enantiomer, is converted to an amino (NH<sub>2</sub>) group to yield compound  
 (IV), which then is subjected to an intramolecular  
 10 cyclization reaction to yield compound (V) having a quaternary carbon of desired stereochemistry positioned in a ring system adjacent to the chiral carbon generated in the addition of the α-substituted β-dicarbonyl, or equivalent, compound to the  
 15 nitroolefin. The diastereoselectivity of the cyclization is controlled by reaction conditions, and particularly, the temperature of the reaction. Most commonly, the cyclization is mediated by use of an amine or organometallic base.

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(IV)



(V)

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Therefore, an important aspect of the present invention is to provide a method of stereoselectively producing a nitro compound (III) from a nitroolefin (II) and a compound of structural formula (I), and particularly (Ia); wherein A is selected from the group consisting of  $C(=O)OR^1$ ,  $C(=O)N(R^5)_2$ ,  $C(=O)SR^5$ ,  $CN$ ,  $NO_2$ , and  $SO_2R^5$ ; B is selected from the group consisting of  $C(=O)OR^2$ ,  $C(=O)N(R^5)_2$ ,  $C(=O)SR^5$ , and  $CN$ ;  $R^1$  is selected from the group consisting of  $C_{1-4}$ alkyl, hydro, and M;  $R^2$  is selected from the group consisting of hydro, M, alkoxyalkyl, alkyl, cycloalkyl, aryl,  $C_{1-3}$ alkylene-aryl, heteroaryl, and  $C_{1-3}$ alkyleneheteroaryl;  $R^3$  is selected from the group consisting of  $C_{1-4}$ alkyl, alkoxy, acylamino, halo, alkylthio, allyl,  $C_{1-3}$ alkylenearyl, and cyano $C_{1-3}$ alkyl;  $R_4$  is selected from the group consisting of unsubstituted or substituted aryl and heteroaryl;  $R^5$ , independently, is selected from the group consisting of hydro,  $C_{1-4}$ alkyl, cyclo-

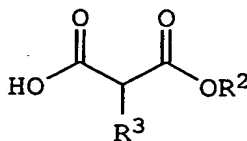
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alkyl, aryl, C<sub>1-3</sub>alkylenearyl, heteroaryl, and C<sub>1-3</sub>alkyleneheteroaryl; and M is an alkali metal cation or an alkaline earth metal cation; and wherein R<sup>6</sup> is alkoxy, amino, or thio; and R<sup>7</sup> is  
5 selected from the group consisting of alkoxy, alkoxyalkyl, alkyl, cycloalkyl, aryl, C<sub>1-3</sub>alkylene-aryl, heteroaryl, and C<sub>1-3</sub>alkyleneheteroaryl, in the presence of a catalyst complex and base, which generates a quaternary carbon adjacent to a chiral  
10 tertiary carbon. In preferred embodiments of compound (Ia), R<sup>6</sup> and R<sup>7</sup> are the same alkoxy, which generates a quaternary carbon atom bearing two diastereotopic groups adjacent to a chiral tertiary carbon. In each case, R<sup>3</sup> is selected from the group  
15 consisting of C<sub>1-4</sub>alkyl, alkoxy, alkylthio, C<sub>1-3</sub>alkylenearyl (e.g., benzyl), acylamino, halo, allyl, and cyanoC<sub>1-3</sub>alkyl; and R<sup>4</sup> is selected from the group consisting of unsubstituted or substituted aryl and heteroaryl. For R<sup>4</sup>, an electron-withdrawing sub-  
20 stituent or an electron-donating aromatic group may be selected. Typically, electron-donating aromatic nitrostyrenes exhibit faster reaction times.

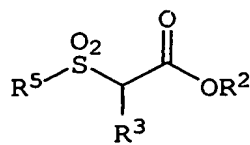
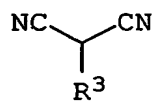
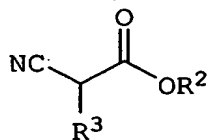
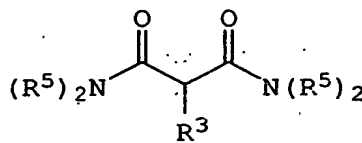
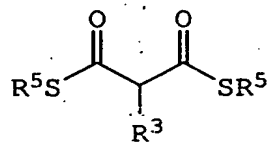
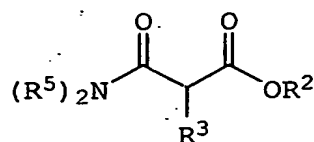
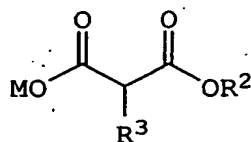
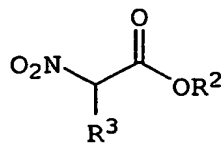
Other useful compounds of structural formula (I) include, but are not limited to:

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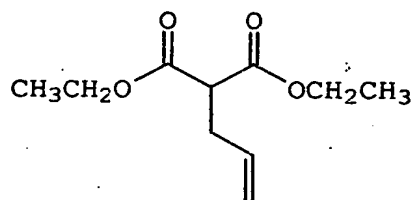
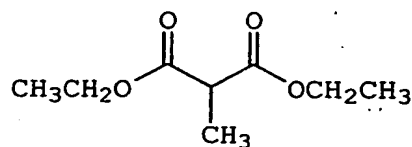
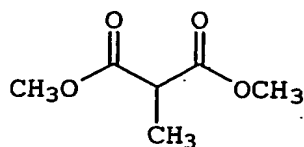
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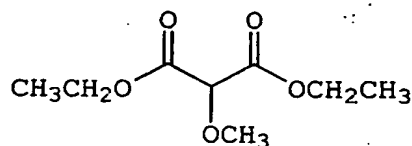
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Examples of  $\alpha$ -substituted  $\beta$ -diesters of structural formula (Ia) useful in the present invention include, but are not limited to:

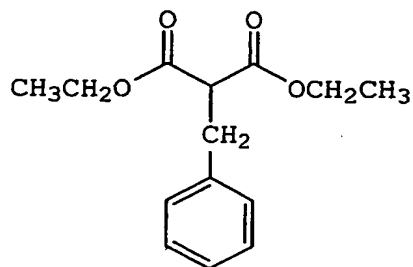
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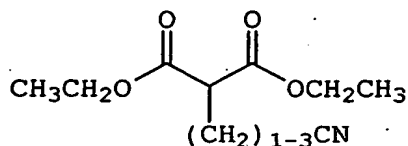
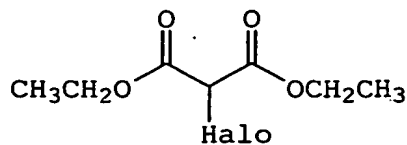
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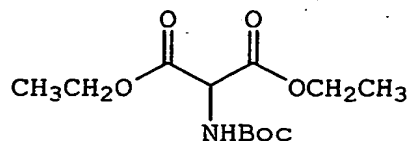


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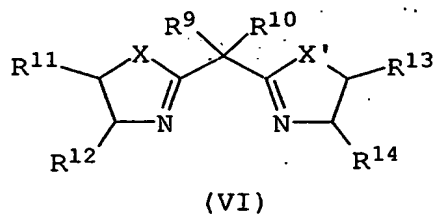
, and



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The catalyst complex comprises a ligand and a metal complex, wherein the ligand either has a structural formula (VI)

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wherein  $R^9$  and  $R^{10}$ , independently, are selected from the group consisting of hydro, alkyl, aryl, and  $C_{1-3}$ alkylenearyl, or  $R^9$  and  $R^{10}$  are taken together to form a 3-, 4-, 5-, or 6-membered cycloalkyl ring or a bicyclic ring;

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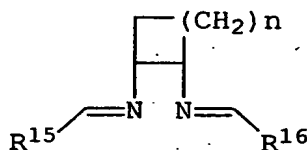
X and X', independently, are selected from the group consisting of oxygen, sulfur, and nitrogen;

5 R<sup>11</sup> and R<sup>12</sup>, independently, are selected from the group consisting of hydro, alkyl, C<sub>1-3</sub>alkylenearyl, and aryl, or R<sup>11</sup> and R<sup>12</sup> are taken together with the ring to which they are attached to form a bicyclic or tricyclic fused ring; and

10 R<sup>13</sup> or R<sup>14</sup>, independently, are selected from the group consisting of hydro, alkyl, C<sub>1-3</sub>alkylenearyl, and aryl, or R<sup>13</sup> and R<sup>14</sup> are taken together with the ring to which they are attached to form a bicyclic or tricyclic fused ring;

or has a structural formula (VII)

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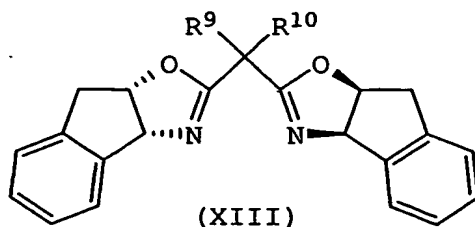


(VII)

20 wherein n is 1-3, and R<sup>15</sup> and R<sup>16</sup>, independently, are selected from the group consisting of alkyl, aryl, and C<sub>1-3</sub>alkylenearyl. These ligands can be prepared in either chiral form and in high enantiomeric purity.

25 Another preferred ligand has a structural formula (XIII). or its enantiomer,

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5                wherein  $R^9$  and  $R^{10}$ , independently, are selected from the group consisting of methyl, ethyl, propyl, isopropyl, and  $C_{1-3}$ alkylenearyl, or  $R^9$  and  $R^{10}$  are taken together to form cyclopropyl, cyclobutyl, cyclopentyl, or indanyl.

10                Another aspect of the present invention is to provide an efficient racemic addition of a compound of structural formula (I), and preferably (Ia), to a nitroolefin. The use of racemic ligand (VI) or (VII) provides an efficient method of synthesizing racemic compounds. Previous attempts to  
15                achieve a racemic addition of  $\alpha$ -substituted malonate diesters to nitrostyrenes required the use of the hazardous bases, like sodium metal and sodium hydride, and produced yields no greater than 65%.  
20                See B. Reichert et al., *Chem. Ber.*, 71, 1254-1259 (1983); and N. Arai et al., *Bull. Chem. Soc. Jpn.*, 70, 2525-2534 (1997). Attempts to repeat these methods using amine bases induced polymerization of the nitrostyrene. The use of a racemic mixture of  
25                ligands under the conditions disclosed herein provides the desired racemic addition product in high yield, while avoiding the use of hazardous bases.

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A further aspect of the present invention relates to compounds prepared by the disclosed methods. In particular, the invention includes chiral compounds, as described herein, having a  
5 stereogenic carbon atom adjacent to a nonstereogenic quaternary carbon atom bearing diastereotopic groups, which are produced by the present methods.

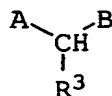
These and other aspects and novel features of the present invention will become apparent from  
10 the following detailed description of the preferred embodiments.

#### DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

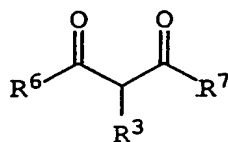
The present invention is directed to a method of enantioselectively producing a nitro compound (III) from a nitroolefin (II) and a compound  
15 of structural formula (I), and preferably of structural formula (Ia), in the presence of a base and a catalyst complex comprising a chiral ligand and a metal complex, which generates a chiral or prochiral  
20 quaternary carbon adjacent to a chiral tertiary carbon.

More particularly, the present invention is directed to a method of preparing a compound having a quaternary carbon atom of desired stereo-  
25 selectivity comprising reacting a compound having a structural formula (I) or (Ia)

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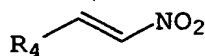


(I)



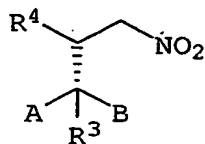
(Ia)

5 with a nitroolefin of structural formula (II)



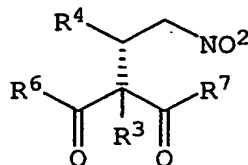
(II)

10 to form a nitro compound of structural formula (III) or (IIIa), respectively, or enantiomers thereof



(III)

15



(IIIa)

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wherein A is selected from the group consisting of  $C(=O)OR^1$ ,  $C(=O)N(R^5)_2$ ,  $C(=O)SR^5$ , CN,  $NO_2$ , and  $SO_2R^5$ ; B is selected from the group consisting of  $C(=O)OR^2$ ,  $C(=O)N(R^5)_2$ ,  $C(=O)SR^5$ , and CN;  $R^1$  is selected from the group consisting of  $C_{1-4}$ alkyl, hydro, and M;  $R^2$  is selected from the group consisting of hydro, M, alkoxyalkyl, alkyl, cycloalkyl, aryl,  $C_{1-3}$ alkylenearyl, heteroaryl, and  $C_{1-3}$ alkyleneheteroaryl;  $R^3$  is selected from the group consisting of  $C_{1-4}$ alkyl, alkoxy, acylamino, halo, alkylthio, allyl,  $C_{1-3}$ alkylenearyl, and cyano $C_{1-3}$ alkyl;  $R_4$  is selected from the group consisting of unsubstituted or substituted aryl and heteroaryl;  $R^5$ , independently, is selected from the group consisting of hydro,  $C_{1-4}$ alkyl, cycloalkyl, aryl,  $C_{1-3}$ alkylenearyl, heteroaryl, and  $C_{1-3}$ alkyleneheteroaryl; and M is an alkali metal cation or an alkaline earth metal cation;

and wherein  $R^6$  is alkoxy; and  $R^7$  is selected from the group consisting of alkoxy, alkoxyalkyl, alkyl, cycloalkyl, aryl,  $C_{1-3}$ alkylenearyl, heteroaryl, and  $C_{1-3}$ alkyleneheteroaryl,

said reaction performed in the presence of a base and a catalyst complex comprising a ligand and a metal complex.

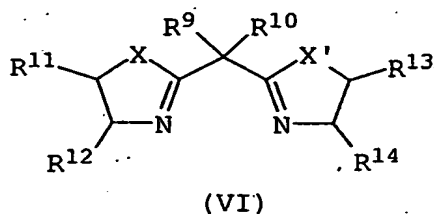
In certain preferred embodiments,  $R^6$  and  $R^7$  of structural formula (Ia) are the same alkoxy, which generates a prochiral quaternary carbon adjacent to a chiral tertiary carbon. For each of these cases,  $R^3$  is selected from the group consisting of  $C_{1-4}$ alkyl, alkoxy, alkylthio, acylamino, halo, allyl,  $C_{1-3}$ alkylenearyl, and cyano $C_{1-3}$ alkyl; and  $R^4$  is



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selected from the group consisting of aryl and heteroaryl.

The catalyst complex comprises a ligand and a metal complex. The ligand either has a structural formula (VI)



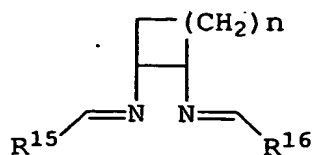
wherein  $R^9$  and  $R^{10}$ , independently, are selected from the group consisting of hydro, alkyl, aryl, and  $C_{1-3}$ alkylenearyl, or  $R^9$  and  $R^{10}$  are taken together to form a 3-, 4-, 5-, or 6-membered cycloalkyl ring or a bicyclic ring;

X and X', independently, are selected from the group consisting of oxygen, sulfur, and nitrogen;

$R^{11}$  and  $R^{12}$ , independently, are selected from the group consisting of hydro, alkyl,  $C_{1-3}$ alkylenearyl, and aryl, or  $R^{11}$  and  $R^{12}$  are taken together with the ring to which they are attached to form a bicyclic or tricyclic fused ring;

and  $R^{13}$  or  $R^{14}$ , independently, are selected from the group consisting of hydro, alkyl,  $C_{1-3}$ alkylenearyl, and aryl, or  $R^{13}$  or  $R^{14}$  are taken together with the ring to which they are attached to form a bicyclic or tricyclic fused ring; or has a structural formula (VII)

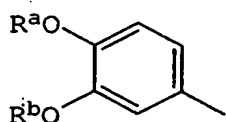
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(VII)

wherein  $n$  is 1-3, and  $R^{15}$  and  $R^{16}$ , independently, are selected from the group consisting of alkyl, aryl, and  $C_{1-3}$ alkylenearyl.

In a preferred embodiment,  $R^6$  and  $R^7$  are alkoxy,  $R^3$  is selected from the group consisting of  $C_{1-4}$  alkyl, alkoxy, acylamino, halogen, allyl, cyano-methyl, cyanoethyl and benzyl, and  $R^4$  is unsubstituted or substituted aryl or heteroaryl. In certain preferred embodiments,  $R^6$  and  $R^7$  are the same alkoxy, preferably methoxy or ethoxy. In other preferred embodiments,  $R^4$  is



wherein  $R^a$  and  $R^b$ , independently, are selected from the group consisting of  $C_{1-4}$ alkyl, cycloalkyl,  $C_{1-3}$ alkylene $C_{3-6}$ cycloalkyl, heterocycloalkyl,  $C_{1-3}$ alkylenearyl,  $C_{1-3}$ alkyleneheteroaryl, aryl, and heteroaryl. In preferred embodiments,  $R^a$  and  $R^b$ , independently, are selected from the group consisting of methyl, benzyl, cyclopentyl, indanyl, cyclopropylmethyl,  $C_{1-4}$ alkylenephenyl, phenyl, substituted phenyl, thiazolyl, benzimidazolyl, tetrahydrofuryl,

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C<sub>1-3</sub>alkylenethienyl, pyranyl, and C<sub>1-3</sub>alkylenetetra-  
furyl. Several additional suitable R<sup>a</sup> and R<sup>b</sup> sub-  
stituents are disclosed in U.S. Patent No.

6,423,710, incorporated herein by reference. In  
5 especially preferred embodiments, R<sup>b</sup> is C<sub>1-4</sub>alkyl,  
particularly methyl.

The methods disclosed herein are useful in  
industrial applications, such as in the production  
of pharmaceuticals and agricultural chemicals. In  
10 particular, the methods disclosed herein are useful  
in synthesizing pharmaceuticals of high optical  
purity and having a heteroatom-containing ring  
system further containing a tertiary carbon atom of  
desired stereochemistry adjacent to a quaternary  
15 carbon atom of desired stereochemistry.

As used herein, the term "alkyl" is de-  
fined as straight chain and branched hydrocarbon  
groups containing the indicated number of carbon  
atoms. Unless otherwise indicated, the hydrocarbon  
20 group can contain up to 16 carbon atoms. Preferred  
alkyl groups are C<sub>1-4</sub>alkyl groups, i.e., methyl,  
ethyl, and straight chain and branched propyl and  
butyl groups.

The term "cycloalkyl" is defined as a  
25 cyclic C<sub>3</sub>-C<sub>8</sub> hydrocarbon group, e.g., cyclopropyl,  
cyclobutyl, cyclohexyl, and cyclopentyl. As defined  
herein, the term "cycloalkyl" includes "bridged  
alkyl," i.e., a C<sub>6</sub>-C<sub>16</sub> bicyclic or polycyclic hydro-  
carbon group, e.g., norbornyl, adamantyl, bicyclo-  
30 [2.2.2]octyl, bicyclo[2.2.1]heptyl, bicyclo[3.2.1]-  
octyl, and decahydronaphthyl. Cycloalkyl groups can

- 20 -

be unsubstituted or substituted with one, two, or three substituents independently selected from the group consisting of C<sub>1-4</sub>alkyl, haloalkyl, alkoxy, alkylthio, amino, alkylamino, dialkylamino, hydroxy, halo, mercapto, nitro, carboxaldehyde, carboxy, alkoxy carbonyl, and carboxamide.

The term "heterocycloalkyl" is defined herein as monocyclic, bicyclic, and tricyclic groups containing one or more heteroatoms selected from the group consisting of oxygen, nitrogen, and sulfur. A "heterocycloalkyl" group also can contain an oxo group (=O) attached to the ring. Nonlimiting examples of heterocycloalkyl groups include 1,3-dioxolanyl, 2-pyrazolinyl, pyrazolidinyl, pyrrolidinyl, piperazinyl, pyrrolinyl, 2H-pyranyl, 4H-pyranyl, morpholinyl, thiomorpholinyl, piperidinyl, 1,4-dithianyl, and 1,4-dioxanyl.

The term "alkylene" is defined herein as an alkyl group having a substituent. For example, the terms "C<sub>1-3</sub>alkylenearyl" and "C<sub>1-3</sub>alkeneheteroaryl" are defined as a C<sub>1-3</sub>alkylene group substituted with an aryl or heteroaryl group, e.g., benzyl (-CH<sub>2</sub>C<sub>6</sub>H<sub>5</sub>).

The term "halogen" is defined herein as fluorine, bromine, chlorine, and iodine. The term "halo" is defined herein as fluoro, bromo, chloro, and iodo.

The term "haloalkyl" is defined herein as an alkyl group substituted with one or more halo substituents. Similarly, "halocycloalkyl" is de-

- 21 -

defined as a cycloalkyl group having one or more halo substituents.

The term "aryl," alone or in combination, is defined herein as a monocyclic or polycyclic aromatic group, preferably a monocyclic or bicyclic aromatic group, e.g., phenyl or naphthyl. Unless otherwise indicated, an "aryl" group can be unsubstituted or substituted with one or more, and in particular one to three substituents, e.g., halo, alkyl, hydroxy, alkoxycarbonyl, carbamoyl, carboxy, carboxyaldehyde, hydroxyalkyl, alkoxy, alkoxyalkyl, haloalkyl, haloalkoxy, cyano, nitro, amino, alkylamino, acylamino, mercapto, alkylthio, alkylsulfinyl, and alkylsulfonyl. Examples of aryl groups include, but are not limited to, phenyl, naphthyl, tetrahydronaphthyl, chlorophenyl, methylphenyl, methoxyphenyl, trifluoromethylphenyl, nitrophenyl, and the like.

The term "heteroaryl" is defined herein as a monocyclic or bicyclic ring system containing one or two aromatic rings and containing at least one nitrogen, oxygen, or sulfur atom in an aromatic ring, and which can be unsubstituted or substituted with one or more, and in particular one to three, substituents, e.g., halo, alkyl, hydroxy, hydroxyalkyl, alkoxy, haloalkoxy, alkoxyalkyl, haloalkyl, perhaloalkyl, nitro, amino, alkylamino, acylamino, carbamoyl, carboxy, carboxyaldehyde, mercapto, alkylthio, alkylsulfinyl, and alkylsulfonyl. Examples of heteroaryl groups include, but are not limited to, thienyl, furyl, pyridyl, oxazolyl, quin-

- 22 -

olyl, isoquinolyl, indolyl, triazolyl, isothiazolyl, isoxazolyl, imidazolyl, benzothiazolyl, pyrazinyl, pyrimidinyl, thiazolyl, and thiadiazolyl.

The term "hydroxy" is defined herein as  
5 -OH.

The term "alkoxy" is defined herein as  
-OR, wherein R is alkyl, preferably C<sub>1-4</sub>alkyl. The  
term "haloalkoxy" is defined herein as -OR,  
preferably C<sub>1-4</sub>alkyl, wherein R is halo-substituted  
10 alkyl.

The term "alkoxyalkyl" is defined herein  
as an alkyl group wherein a hydrogen has been re-  
placed by an alkoxy group. The term "(alkylthio)-  
alkyl" is defined similarly as alkoxyalkyl, except  
15 that a sulfur atom is substituted for the oxygen  
atom.

The term "hydroxyalkyl" is defined herein  
as a hydroxy group appended to an alkyl group.

The term "amino" is defined herein as NH<sub>2</sub>,  
20 and the term "alkylamino" is defined herein as NR<sub>2</sub>,  
wherein at least one R is alkyl and the second R is  
alkyl or hydro.

The term "acylamino" is defined herein as  
R<sup>a</sup>C(=O)N(R<sup>b</sup>)-, wherein R<sup>a</sup> is alkyl or aryl and R<sup>b</sup> is  
25 hydrogen, alkyl or aryl.

The term "carboxaldehyde" is defined here-  
in as -CHO.

The term "carboxy" is defined herein as  
-COOH.

30 The term "alkoxycarbonyl" is defined here-  
in as -C(=O)OR, wherein R is alkyl.

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The term "carboxamide" is defined herein as  $-C(=O)N(R)_2$ , wherein each R, independently, is hydro or alkyl.

5 The term "mercapto" is defined herein as -SH.

The term "alkylthio" is defined herein as -SR, wherein R is alkyl.

The term "alkylsulfinyl" is defined herein as  $R-SO_2-$ , wherein R is alkyl.

10 The term "alkylsulfonyl" is defined herein as  $R-SO_3-$ , wherein R is alkyl.

The term "nitro" is defined herein as  $NO_2$ .

The term "cyano" is defined herein as -CN.

The term "allyl" is defined as  $-CH_2CH=CH_2$ .

15 The term "cyano $C_{1-3}$ alkyl" is defined as  $-CH_2CN$ ,  $-C_2H_5-CN$ , and  $-C_3H_7CN$ .

The term "alkali metal cation" is defined as a lithium, sodium, potassium, or cesium ion.

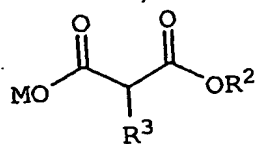
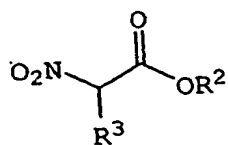
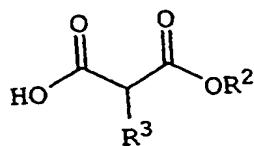
20 The term "alkaline earth metal cation" is defined as a magnesium, calcium, strontium, or barium ion.

Where no substituent is indicated as attached to a carbon or a nitrogen atom, it is understood that the carbon atom contains the appropriate number of hydrogen atoms. As used herein, "Me" is methyl, "Et" is ethyl, "Bn" is benzyl, "Bu" is butyl, "Boc" is t-butoxycarbonyl, and "Ac" is acetyl ( $CH_3C=O$ ).

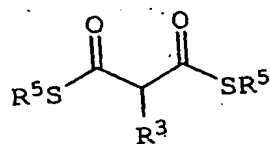
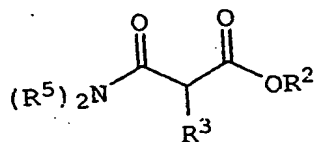
Useful compounds of structural formula (I) include, but are not limited to:

30

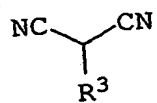
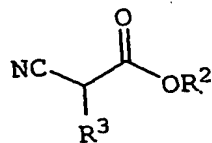
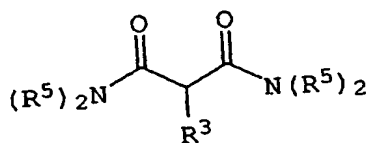
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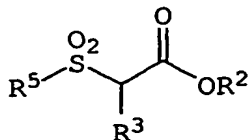
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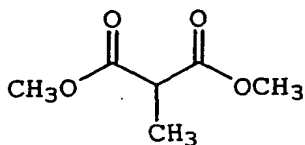


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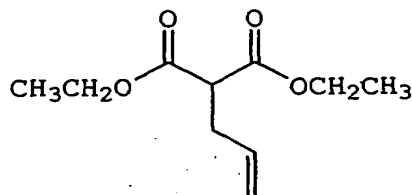
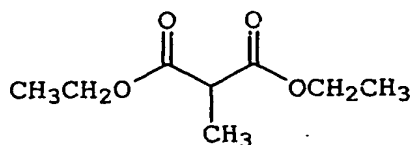


Examples of M include, but are not limited to, Na,  
 5 K, Li, Mg, and Ca cations.

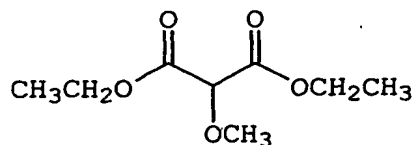
Examples of  $\alpha$ -substituted  $\beta$ -diesters of structural formula (Ia) useful in the present invention include, but are not limited to:



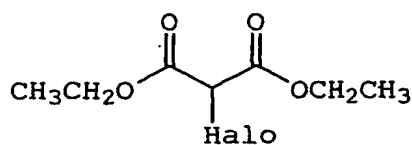
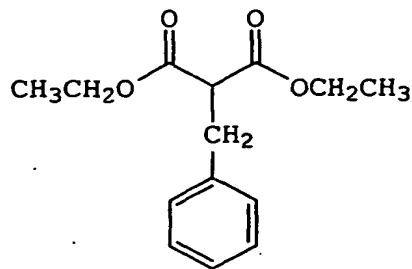
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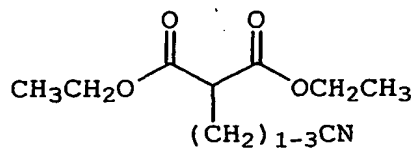
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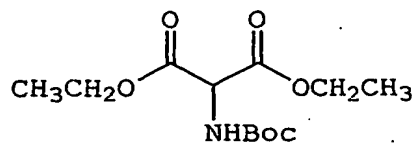
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5



, and



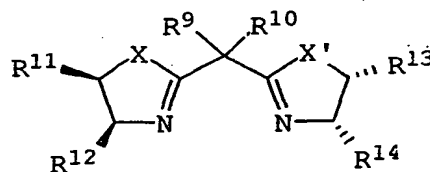
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The addition reaction between a compound  
 15 of structural formula (I), and particularly an  $\alpha$ -  
 substituted  $\beta$ -dicarbonyl compound (Ia), and a nitro-  
 olefin (II) to form a nitro compound (III) is per-  
 formed in the presence of a catalyst complex. The  
 catalyst complex is formed by reacting a ligand and  
 20 a metal complex. The ligand and the metal complex  
 can be reacted in the presence of a solvent. The  
 reaction time needed to form a catalyst complex is

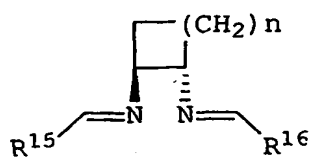
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related to the identity of the ligand and the metal complex. Solvents useful in the formation of the catalyst complex include, but are not limited to, tetrahydrofuran (THF), toluene, methylene chloride (CH<sub>2</sub>Cl<sub>2</sub>), chlorobenzene, and chloroform (CHCl<sub>3</sub>). Preferred solvents include chloroform and chlorobenzene.

Ligands useful in the preparation of the catalyst complex have a structural formula (VI) or (VII), such as are disclosed in WO 00/15599, and Johnson et al., *Acc. Chem. Res.*, 33, 325-335 (2000), each incorporated herein by reference. Preferred ligands have a structural formula (VIII) or (IX)



(VIII)

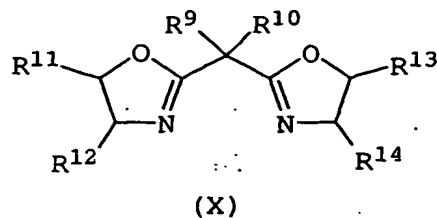


(IX)

wherein  $n$ ,  $X$ ,  $X'$ ,  $R^9$ ,  $R^{10}$ ,  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$ ,  $R^{14}$ ,  $R^{15}$ , and  $R^{16}$  are as defined above. Also preferred are enantiomers of compounds (VIII) and (IX).

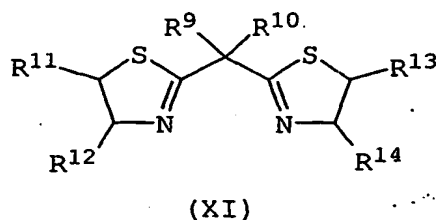
A more preferred ligand has a structural formula (X)

- 28 -



wherein  $R^9$  and  $R^{10}$ , independently, are  
 5 selected from the group consisting of methyl, ethyl, propyl, isopropyl, and  $C_{1-3}$ alkylenearyl, or  $R^9$  and  $R^{10}$  are taken together to form cyclopropyl, cyclobutyl, cyclopentyl, or indanyl, and  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$ , and  $R^{14}$ , independently, are selected from the group consist-  
 10 ing of hydro, alkyl, aryl, and  $C_{1-3}$ alkylenearyl.

Another preferred ligand has a structural formula (XI)

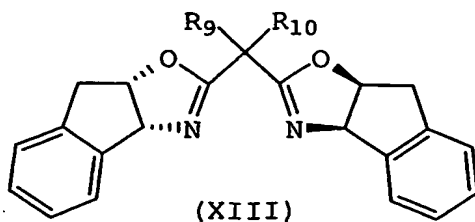


15

wherein  $R^9$  and  $R^{10}$ , independently, are  
 selected from the group consisting of methyl, ethyl, propyl, isopropyl, and  $C_{1-3}$ alkylenearyl, or  $R^9$  and  $R^{10}$   
 20 are taken together to form cyclopropyl, cyclobutyl, cyclopentyl, or indanyl, and  $R^{11}$ ,  $R^{12}$ ,  $R^{13}$ , and  $R^{14}$ , independently, are selected from the group consist-  
 ing of hydro, alkyl, aryl, and  $C_{1-3}$ alkylenearyl.

Another preferred ligand has a structural  
 25 formula (XIII)

- 29 -



5                    wherein  $R^9$  and  $R^{10}$ , independently, are selected from the group consisting of methyl, ethyl, propyl, isopropyl, or  $C_{1-3}$ alkylenearyl, or  $R^9$  and  $R^{10}$  are taken together to form cyclopropyl, cyclobutyl, cyclopentyl, or indanyl, or the enantiomer of compound (XIII).

10                   Metal complexes useful in the preparation of a catalyst complex include, but are not limited to, tin, zinc, aluminum, iron, nickel, titanium, ytterbium, zirconium, copper, antimony, or magnesium perchlorate; magnesium, copper, zinc, lanthanum, or  
15                   nickel trifluoromethanesulfonate; magnesium, copper, zinc, or nickel bromide; magnesium, copper, zinc, or nickel iodide; magnesium, copper, zinc, or nickel acetylacetonate. A preferred metal complex is magnesium trifluoromethanesulfonate ( $Mg(OTf)_2$ ).

20                   A base useful in the reaction is an amine, preferably a tertiary amine. Suitable bases include, but are not limited to, triethylamine, diisopropylethylamine, 2,6-lutidine, N-methylmorpholine,  
25                   N-ethylpiperidine, imidazole, and 5,6-dimethylbenzimidazole. The preferred bases are 2,6-lutidine, N-methylmorpholine, and 5,6-dimethylbenzimidazole.

- 30 -

Use of stronger bases may result in polymerization of the nitrostyrene.

The stereoselectivity of the synthesis of nitro compound (III) can be controlled by the amount of catalyst complex used in the reaction and the time of reaction. In general, the addition of greater than about 5 mol% of the catalyst complex to the reaction mixture can result in high conversions after about a three-hour reaction time, however the stereoselectivity may not be fully optimized. To increase the stereoselectivity of the reaction, it has been useful in certain situations to use about 0.01 mol% to about 2 mol% catalyst, preferably about 0.05 mol% to about 1 mol%, e.g., about 0.1 mol% catalyst, and to extend reaction times to about 16 to about 30 hours, and preferably about 18 to about 24 hours. If the reaction proceeds for longer than about 30 hours, the enantiomeric excess of the product may decrease. A decrease in enantiomeric excess is more pronounced for methyl esters of  $\alpha$ -substituted- $\beta$ -dicarbonyl compounds (Ia) than for ethyl esters, while isopropyl esters exhibit little or no decrease in enantiomeric excess.

The amount of base used in the reaction typically is slightly greater than the amount of catalyst complex, and is at least equal to the amount of catalyst complex. For example, when 1 mol% catalyst complex is used in the reaction, the amount of base typically is about 1 to about 7 mol%, preferably about 4 to about 6 mol%.

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Cyclization of the nitro compound (III) is achieved using a two-step process, i.e., reduction of the nitro group followed by cyclization (lactamization), to yield the pyrrolidinone (V) containing two contiguous stereocenters. The level of stereoselectivity at the quaternary carbon atom of compound (V) is influenced by the identity of the chiral center of compound (III), as well as the steric bulk of the A and B groups and the conditions of the cyclization reaction.

Reduction of the nitro group can be performed by methods known in the art, preferably by reduction with nickel borohydride (prepared in situ from  $\text{NiCl}_2/\text{NaBH}_4$ , preferred mole ratio of <1:2.5), or by zinc reduction in the presence of an acid or by hydrogenation in the presence of a transition metal catalyst. If the nitro group is reduced to an amino group using zinc metal and an acid, the stereoselectivity of the reaction can be improved by removing any unreacted zinc prior to the cyclization step.

Cyclization proceeds in the presence of base and at a pH of about 9 or greater, e.g., about 9 to about 12, preferably about 9.5 to about 11. The temperature is not particularly critical, but a low temperature, preferably about  $-10^\circ\text{C}$  to about  $-78^\circ\text{C}$ , more preferably, at about  $-20^\circ\text{C}$  to about  $-78^\circ\text{C}$ , is used to improve diastereoselectivity. Nickel borohydride and Raney nickel reactions typically are performed at about  $20^\circ\text{C}$  to about  $70^\circ\text{C}$ .

Suitable bases include organometallic bases, alkoxides, amines, and inorganic bases.

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Examples of specific bases include, but are not limited to, 1,8-diazabicyclo[5.4.0]undec-7-ene (DBU), sodium ethoxide (NaOEt), diisopropylethylamine, triethylamine, N-methylmorpholine, sodium bicarbonate, sodium carbonate, potassium hydroxide, sodium hydroxide, lithium hexamethyldisilazide, and isopropyl magnesium chloride. DBU is an especially preferred base.

A diethyl ester of compound (IV) (i.e., A and B are  $C(=O)OC_2H_5$ ) appears to provide the greatest stereoselectivity. However, cyclization using a dimethyl ester of compound (IV) (i.e., A and B are  $C(=O)OCH_3$ ) is still stereoselective, but the diastereomeric excess of the product may be reduced. When A and B are  $C(=O)OCH(CH_3)_2$ , a temperature greater than about  $-78^\circ C$  is needed for the cyclization reaction to proceed.

The  $R^3$  substituent of nitro compound (III) also influences the stereoselectivity of the cyclization reaction. As the  $R^3$  substituent increases in size, stereoselectivity of the cyclization reaction decreases. Therefore, preferred  $R^3$  substituents are methyl and ethyl.

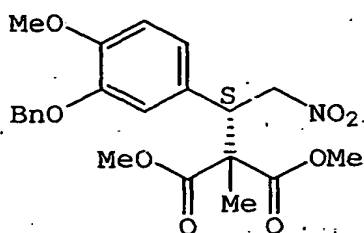
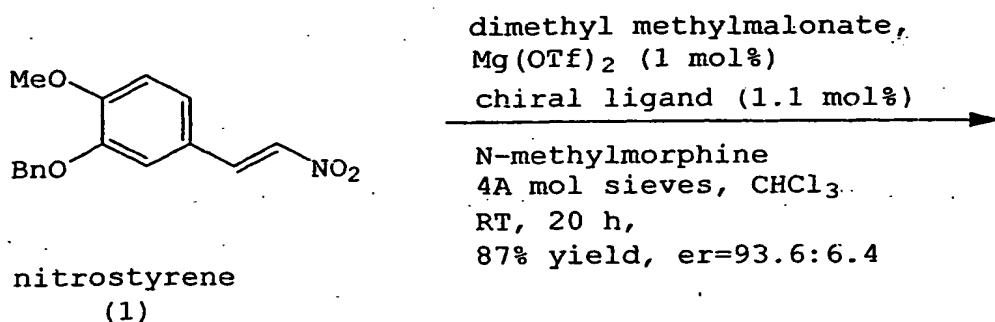
#### EXAMPLE 1

The following synthetic sequence illustrates the method of the present invention, wherein a stereogenic tertiary carbon is generated adjacent to a nonstereogenic quaternary carbon atom bearing diastereotopic groups by addition of an  $\alpha$ -substituted malonate to a nitroolefin. Subsequent reduc-



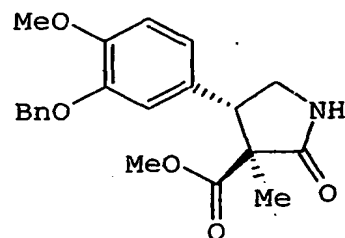
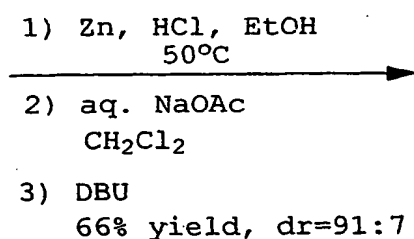
- 33 -

tion of the nitro group to an amine group, followed by a stereoselective intramolecular cyclization of the amine compound produces a ring containing a chiral tertiary carbon atom adjacent to a chiral quaternary carbon atom.



malonate  
(2)

10

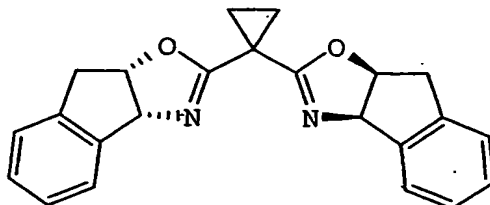


pyrrolidinone ester  
(3)

The chiral ligand used in the above synthetic sequence was:

15

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5 **Preparation of 2-Benzyl-1-methoxy-4-(2-nitrovinyl)benzene (nitrostyrene (1))**

Nitrostyrene (1), also known as 3-benzyl-oxy-4-methoxy- $\beta$ -nitrostyrene, was prepared from commercially available O-benzyl isovanillin (Aldrich Chem. Co., Milwaukee, WI) using the procedure disclosed in A. Bermejo et al., *J. Med. Chem.*, 45, 5058-5086 (2002) or in Battersby, *Tetrahedron*, 14, 46-53 (1961).

15 **Preparation of 2-[(S)-1-(3-Benzyl-4-methoxyphenyl)-2-nitroethyl]-2-methylmalonic acid dimethyl ester (malonate (2))**

Chloroform (4320 mL), the chiral ligand prepared as disclosed hereafter (54.8 g, 0.154 moles) and  $\text{Mg}(\text{OTf})_2$  (45.2 g, 0.14 moles) were added to a 50 L five-necked flask. The resulting mixture was stirred for at least 20 minutes, followed by adding water (10.4 mL), and stirring for at least one hour. Chloroform (11.48 L) and powdered 4Å molecular sieves (784 g) were added to the reaction mixture, and stirring was continued for one hour, or until the water content was less than 40 ppm, as determined by Karl Fischer titration. Nitrogen gas ( $\text{N}_2$ ) was bubbled through the reaction mixture for 0.5

- 35 -

hour, then nitrostyrene (1) (4 kg, 14.0 moles) was added as a solid over 20 minutes. Chloroform (250 mL) was added as a rinse, followed by the addition of dimethyl methylmalonate (2.482 kg, 16.96 moles, 2260.5 mL) over one minute. After rinsing with  $\text{CHCl}_3$  (250 mL), N-methylmorpholine (18.4 g, 0.182 moles, 20 mL) was added rapidly via syringe. The reaction mixture was stirred under  $\text{N}_2$  for 18 hours at room temperature (RT). The reaction was monitored for completion by HPLC. Then, water (1.6 L) was added to quench the reaction, followed by stirring at least one hour to allow the molecular sieves to swell. Next, the reaction mixture was filtered through a bed of CELITE<sup>™</sup> on a coarse sintered glass funnel. The layers of the filtrate were separated, then the organic layer was washed with 1:1 brine:-water solution (8 L). The organic layer was concentrated by rotary evaporation to provide a solid suspension. Ethanol (EtOH) (200 proof, 8 L) was added to the suspension, and the solids collected by filtration. The solid cake was washed with a minimum amount of 200 proof EtOH (500 mL). The wet cake then was added to a 50 L flask and triturated with EtOH (190 proof, 36 L) for 2 hours at 50°C, then allowed to cool to room temperature over 15 hours. The product was isolated by filtration, and the off-white crystalline solid dried under vacuum at 40-50°C to give the desired product (2) (5.28 kg, 12.23 moles, 87% yield).

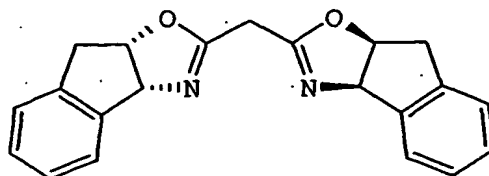
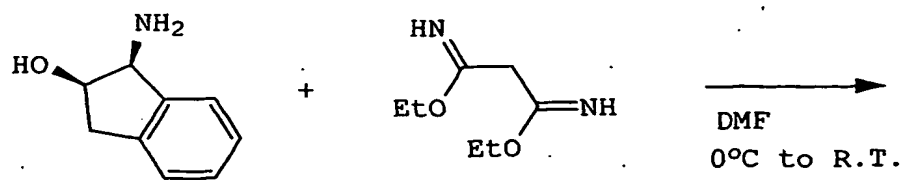
The purity of compound (2) by HPLC was 99%, and the enantiomeric ratio (e.r.) was 93.6:6.4.

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$R_f=0.34$  (2:1 hexane:EtOAc);  $^1\text{H}$  NMR ( $\text{CDCl}_3/400$  MHz)  $\delta$ : 7.39 (br, d, 2H, Bn-H), 7.34 (br t, 2H, Bn-H), 6.78 (d,  $J=8.4$  Hz, 1H, Ar-H), 6.68 (dd,  $J=2.0, 8.4$  Hz, Ar-H), 6.66 (d,  $J=2.0$  Hz, 1H, Ar-H), 5.13 (d,  $J=12.30$ , 1H,  $-\text{OCH}_2\text{-Ar}$ ), 5.09 (d,  $J=12.30$ , 1H,  $-\text{OCH}_2\text{-Ar}$ ), 4.91 (d,  $J=7.2$  Hz, 2H,  $\text{NO}_2\text{-CH}_2$ ), 4.00 (t,  $J=7.2$  Hz, 1H,  $\text{NO}_2\text{CH}_2\text{CHAr}$ ), 3.82 (s, 3H,  $\text{Ar-OCH}_3$ ), 3.67 (s, 3H,  $-\text{OCO}_2\text{CH}_3$ ), 3.65 (s, 3H,  $-\text{CO}_2\text{CH}_3$ ), 1.21 (s, 3H, q,  $\text{CH}_3$ ).  $^{13}\text{C}$  NMR ( $\text{CDCl}_3/400$  MHz)  $\delta$ : 171.53, 170.89, 149.94, 147.99, 136.98, 128.69, 128.03, 127.47, 127.16, 122.02, 115.69, 111.83, 77.75, 71.33, 56.97, 55.97, 53.12, 52.90, 48.10, 20.34. Rotation:  $[\alpha]^{24}=+28.7$  ( $c=1$ , chloroform). Anal. Calcd for  $\text{C}_{22}\text{H}_{25}\text{NO}_8$ : C, 61.25; H, 5.84; N, 3.25. Found: C, 61.11; H, 5.96; N, 3.15. RP-HPLC Conditions: Waters YMC-Pack Pro-C18, 120Å, 5  $\mu\text{m}$ , 4.6 mm x 150 mm with mobile phases A: Water, 0.1% trifluoroacetic acid, 1% isopropyl alcohol; B: acetonitrile, 0.05% trifluoroacetic acid, 1% isopropyl alcohol at 1.5 mL/min using a gradient from 15% B to 95% B over 10 minutes, hold at 95% B for 2.5 minutes, return to 15% B in one minute, hold at 15% B for 1.5 minutes. UV detection at 233nm  $t_R=9.7$  min. Chiral HPLC conditions: CHIRALPAK® AD column, 10  $\mu\text{m}$ , 4.6 mm x 250 mm with hexane-ethanol (90:10, v/v) mobile phase at 1.0 mL/min. UV detection at 206 nm,  $t_R=11.4$  min.

The chiral ligand used in the above reaction was prepared as follows. Also see I.W. Davies et al., *Tet. Lett.*, 37, pp. 813-814 (1996) and *Chem. Commun.*, pp. 1753-1754 (1996).

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$C_{21}H_{18}N_2O_2$   
Mol wt. 330.38

Bis(oxazoline)

(4)



$C_2H_4Br_2$

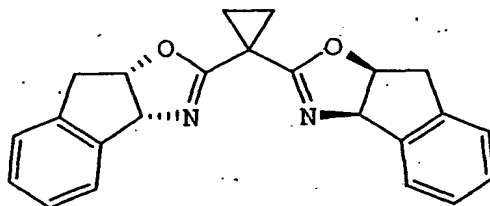
Mol. Wt.: 187.86

d=2.18 g/mL

NaH (60% dispersion in mineral oil)

THF

R.T to 50°C



$C_{23}H_{20}N_2O_2$   
mol wt. 356.42

(5)

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**Preparation of [3aR-[2(3'aR\*,8'aS\*),3'a $\beta$ ,8'a $\beta$ ]]-(+)-2,2'-methylene bis-[3a,8a-dihydro-8H-indeno-[1,2-d]-oxazole (bis(oxazoline) (4))**

A 3 L round bottom flask was charged with  
5 diethyl malonimidate dihydrochloride (25.8 g, 0.112 moles, 1.0 equiv.) and dimethylformamide (DMF) (320 mL). The mixture was cooled in an ice bath. To this suspension was added (1R,2S)-(+)-cis-1-amino-2-indanol (40 g, 0.268 moles, 2.4 equivalents), in  
10 portions, over twenty minutes. The ice bath then was removed, and the reaction allowed to warm to room temperature, during which time the reaction product precipitated from the reaction. After four days stirring at room temperature, the reaction was  
15 filtered. The collected white solid was suspended in CH<sub>2</sub>Cl<sub>2</sub> (450 mL). The mixture then was washed with water (260 mL) and brine (260 mL). The organic layer was dried over sodium sulfate (Na<sub>2</sub>SO<sub>4</sub>), filtered, and concentrated to an off-white solid. Drying  
20 overnight under vacuum provided 23.9 g (65% yield) of the bis(oxazoline) (4). <sup>1</sup>H NMR (300 MHz/CDCl<sub>3</sub>):  $\delta$  7.45 (m, 2H, Ar-H); 7.27-7.21 (m, 6H, Ar-H); 5.56 (d, J=7.9 Hz, 2H, N-CH); 5.34 (m, 2H, O-CH); 3.39 (dd, J=7.0, 18.0 Hz, 2H, Ar-CHH); 3.26 (s, 2H,  
25 -CH<sub>2</sub>-); 3.16 (d, J=18.0 Hz, 2H, 14-CHH). The NMR is consistent with the peak assignments made in WO 00/15599.

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Preparation of [3aR-[2(3'aR\*,8'aS\*),3'a $\beta$ ,8'a $\beta$ ]]-(+)-2,2'-cyclopropylidene bis[3a,8a-dihydro-8H-indeno-[1,2-d]oxazole (chiral ligand (5))

To a 1 L round bottom flask was added the  
5 bis(oxazoline) (4) (30.3 g, 91.7 mmole, 1 equiv.),  
and dry THF (450 mL): The slurry was cooled to 0°C,  
and 60% sodium hydride (NaH) in mineral oil (11.0 g,  
275.1 mmole, 3 equiv.) was added cautiously with  
stirring. The mixture was warmed to room tempera-  
10 ture, then 1,2-dibromoethane (11.85 mL, 138 mmol,  
1.5 equiv.) was added over 15 minutes while main-  
taining the temperature between 25°C and 30°C. The  
reaction was warmed slowly to 50°C, then stirred for  
3 hours. The reaction was monitored by TLC (10%  
15 methanol/ethyl acetate, starting material  $R_f$ -0.3  
(streaky), product  $R_f$ -0.45 (not as streaky as the  
starting material)). After completion, the reaction  
mixture was cooled to 0°C, and carefully quenched  
with saturated ammonium chloride (NH<sub>4</sub>Cl) (150 mL).  
20 Water (150 mL) was added, and the product was ex-  
tracted twice with CH<sub>2</sub>Cl<sub>2</sub> (450 mL and 150 mL). The  
combined organic layers were dried over Na<sub>2</sub>SO<sub>4</sub>,  
filtered, and concentrated to provide an orange  
solid. The solid was triturated with hexanes (240  
25 mL) at room temperature, filtered, and then washed  
with additional hexanes (91 mL) to yield compound  
(5) (32 g, 98%) as a white powder. <sup>1</sup>H NMR (300  
MHz/CDCl<sub>3</sub>):  $\delta$  7.45 (m, 2H, Ar-H); 7.27-7.19 (m, 6H,  
Ar-H), 5.52 (d, J=7.7 Hz, 2H, N-CH); 5.32 (m, 2H, O-  
30 CH); 3.39 (dd, J=7.0, 18.0 Hz, 2H, Ar-CHH), 3.20

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(dd, J=1.8, 18.0 Hz, 2H, Ar-CHH); 1.36 (m, 2H, -CHH-CHH-); 1.27 (m, 2H, -CHH-CHH-).

**Preparation of 4-(3-benzyloxy-4-methoxyphenyl)-  
3-methyl-2-oxo-pyrrolidine-3-carboxylic acid  
method ester (3)**

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To a flask containing the malonate (2) (20.0 g, 46.4 mmol, 1.00 eq.) was added 190 proof EtOH (200 mL). Next, concentrated hydrochloric acid (HCl) (100 mL, 1200 mmol, 25.9 eq.) was cautiously added via an addition funnel. The addition was very exothermic, and the reaction temperature increased from 23°C to 48°C. To this mixture, zinc dust (28.5 g, 436 mmol, 9.4 eq.) was added portionwise to maintain a temperature of 45°C to 52°C. The reaction was monitored by HPLC. When the reaction was judged complete (hydroxylamine completely reduced to amine), the gray suspension was cooled to 0°C, then saturated aqueous sodium acetate (NaOAc) (100 mL) was added to the reaction mixture. The unreacted zinc dust then was removed by filtration. The filtrate was concentrated to remove the EtOH, then diluted with CH<sub>2</sub>Cl<sub>2</sub> (200 mL). The layers were separated and the aqueous layer was extracted with CH<sub>2</sub>Cl<sub>2</sub> (50 mL). The combined organic layers were washed with saturated aqueous NaOAc (200 mL). The organic layer was dried over Na<sub>2</sub>SO<sub>4</sub> and filtered. The organic solution then was cooled to -78°C, then DBU (30 mL, 201 mmol, 4.33 eq.) was added. The resulting solution was stirred at -78°C for 1 hour,



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then warmed to room temperature. HPLC analysis showed a 5:1 ratio of diastereomers.

The reaction mixture was poured into 1N HCl (200 mL), then the layers were separated. The aqueous layer then was extracted CH<sub>2</sub>Cl<sub>2</sub> (25 mL). The combined organic layers were washed with 1N HCl (100 mL), and the layers were separated. The resulting organic layer was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated. The product was isolated by crystallizing from methyl t-butyl ether to give pyrrolidinone ester (3) (11.4 g, 66% yield), with a 91:7 ratio of desired diastereomer to undesired diastereomer.

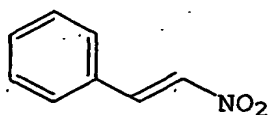
The above synthetic sequence illustrates the manufacture of a cyclic compound having a quaternary carbon of desired stereochemistry positioned in a ring system adjacent to a chiral tertiary carbon of desired stereochemistry. The pyrrolidinone ester (3) is prepared in good yield and excellent optical purity. The pyrrolidinone ester (3) can be subjected to a variety of reactions to provide useful commercial products including pharmaceuticals, without affecting the stereochemistry of the quaternary or tertiary ring carbons.

The following synthetic sequence illustrates the use of diethyl allyl malonate in the present method to generate a pyrrolidinone ester containing two contiguous stereocenters, one of which is quaternary bearing an allyl substituent that can be readily subjected to a variety of reactions to

provide useful commercial products including pharmaceuticals, without affecting the stereochemistry of the quaternary or tertiary ring carbons.

### EXAMPLE 2

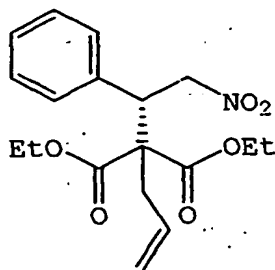
5



(6)

diethyl allylmalonate  
Mg(OTf)<sub>2</sub> (1 mol%)  
chiral ligand (1.1 mol%)

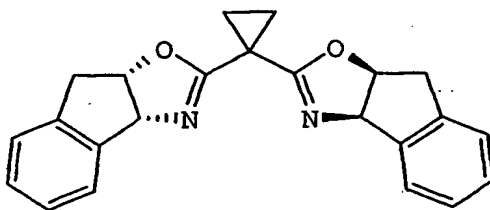
N-methylmorpholine  
4A mol sieves, CHCl<sub>3</sub>  
RT, 20h,  
72% yield, dr 91:9



(7)

10

The chiral ligand used in Example 2 was



15

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Preparation of 2-[1R-phenyl-2-nitroethyl]-2-allylmalonic acid diethyl ester (7)

Chloroform ( $\text{CHCl}_3$ ), or alternatively chlorobenzene, (2.5 mL), the chiral ligand (-  
5 enantiomer) (34.25 mg, 0.097 mmol), and  $\text{Mg}(\text{OTf})_2$  (28.25 mg, 0.088 mmol) were added to a 25 mL flask. The resulting mixture was stirred for at least 20 minutes followed by the addition of water (0.0065 mL). The resulting mixture was stirred for  
10 at least 1 hour. The molecular sieves are an optional, but preferred, component, because stereoselectivity is improved when molecular sieves are present. Chloroform (7.5 mL) and powdered 4Å molecular sieves (367.5 mg) were added to the reaction  
15 mixture, and stirring was continued for a minimum of 1 hour. Water content then was determined by Karl Fischer titration. If the water content was 40 ppm or greater, stirring was continued and additional molecular sieves were added. When the water content  
20 was less than 40 ppm,  $\text{N}_2$  was bubbled through the reaction mixture for a minimum of 2 minutes. Nitrostyrene (6) (1.31 g, 8.77 mmol) then was added as a solid over 1 minute. Chloroform (1 mL) was added as a rinse, followed by the addition of diethyl  
25 allylmalonate (2.13 g, 10.65 mmol, 2.09 mL) over 1 minute via syringe. N-methylmorpholine (11.5 mg, 0.114 mmol, 0.0125 mL) was added rapidly via pipette. Nitrogen gas was bubbled through the reaction mixture for a minimum of 2 minutes, and the  
30 reaction mixture then was stirred under nitrogen for 45 hours at RT. The reaction was monitored for com-

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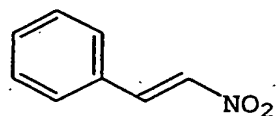
pletion by HPLC. Water (1 mL) was added to quench the reaction, and the reaction mixture was stirred at least 5 minutes to allow the molecular sieves to swell. Next, the reaction mixture was filtered through a bed of CELITE™. The layers of the filtrate were separated, then the organic layer was washed with brine (15 mL). The organic layer was dried over Na<sub>2</sub>SO<sub>4</sub> (5 g). The organic layer was concentrated by rotary evaporation to provide a yellow oil. The oil was purified using flash chromatography by eluting with 9:1 hexanes:EtOAc. Chromatography was necessary to separate the starting material ( $R_f=0.4$ ) and the product ( $R_f=0.31$ ). After concentration under vacuum, the desired product (7) was obtained as a clear oil (2.2 g, 6.29 mmole, 72% yield). The purity by HPLC was >98 area% and the enantiomeric ratio was 91:9.  $R_f=0.31$  (9:1 hexane:EtOAc). <sup>1</sup>H NMR (CDCl<sub>3</sub>/400 MHz)  $\delta$ : 7.32-7.27 (m, 3H, Ar-H), 7.14 (d,  $J=7.8$  Hz, 1H, Ar-H), 7.13 (d,  $J=5.7$  Hz, 1H, Ar-H), 5.80-5.68 (m, 1H, CH=CH<sub>2</sub>), 5.17-4.95 (m, 4H, CH=CH<sub>2</sub>, CH<sub>2</sub>-NO<sub>2</sub>), 4.31 (q,  $J=7.14$  Hz, 1H, -OCH<sub>2</sub>Me), 4.30 (q,  $J=7.14$  Hz, 1H, -OCH<sub>2</sub>Me), 4.23 (q,  $J=7.14$  Hz, 2H, -OCH<sub>2</sub>Me), 4.19 (dd,  $J=3.07, 7.05$  Hz, 1H, Ar-CH), 2.57 (dd,  $J=6.52, 14.51$  Hz, 1H, C-CH<sub>2</sub>), 2.27 (dd,  $J=8.01, 14.55$  Hz, 1H, C-CH<sub>2</sub>), 1.32 (t,  $J=7.08$  Hz, 3H, -CH<sub>3</sub>), 1.27 (t,  $J=7.08$  Hz, 3H, -CH<sub>3</sub>). <sup>13</sup>C NMR (CDCl<sub>3</sub>/400 MHz)  $\delta$ : 169.92, 169.73, 135.26, 132.08, 129.15, 129.01, 128.67, 120.05, 78.77, 62.21, 60.67, 46.87, 38.60, 14.27. Rotation:  $[\alpha]^{24}=-35.2$  ( $c=1$ , chloroform). LCMS  $m/z$  350 ( $M+1$ ),

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303, 275. Anal. Calcd. for  $C_{22}H_{25}NO_8$ : C, 61.88; H, 6.64; N, 4.01. Found: C, 61.99; H, 6.97; N, 4.02.

### EXAMPLE 3

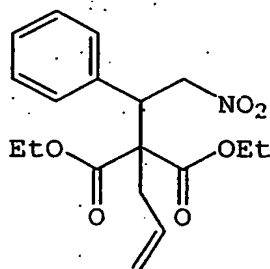
The above synthesis also can be performed  
5 using a racemic mixture of the ligand to generate a  
racemic mixture of a compound having a stereogenic  
carbon atom adjacent to a nonstereogenic carbon  
bearing diastereotopic groups.



(6)

diethyl allylmalonate  
 $Mg(OTf)_2$  (1 mol%)  
racemic ligand (1.1 mol%)

N-methylmorpholine  
4A mol sieves,  $CHCl_3$   
RT, 20h,  
79% yield



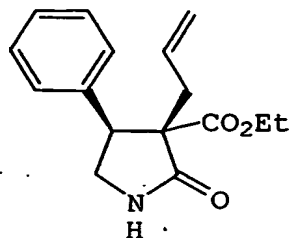
(8)

10

- 46 -

- 1) Zn, HCl, EtOH, 50°C
- 2) aq. NaOAc, CH<sub>2</sub>Cl<sub>2</sub>
- 3) DBU

98% yield, dr 98:2



racemic  
pyrrolidinone ester  
(9)

5 Preparation of 2-Allyl-2-[1-phenyl-2-nitroethyl]-  
malonic acid diethyl ester (8)

Chloroform (150 mL), racemic ligand (1.97 g, 5.52 mmol), and Mg(OTf)<sub>2</sub> (1.62 g, 5.03 mmol) were added to a 2 L flask. The mixture was stirred for at least 20 minutes followed by the addition of water (0.374 mL). The resulting mixture was stirred for at least 1 hour. Chloroform (450 mL) and powdered 4Å molecular sieves (22.2 g) were added to the reaction mixture, and stirring was continued for a minimum of 1 hour. The water content then was determined by Karl Fischer titration. If the water content was 40 ppm or greater, stirring was continued and additional molecular sieves were added. When the water content was below 40 ppm, N<sub>2</sub> was bubbled through the reaction mixture for a minimum of 5 minutes. Nitrostyrene (6) (75 g, 502.9 mmol) was added as a solid over 5 minutes. Chloroform (20 mL) was added as a rinse, followed by the addition of diethyl allylmalonate (110.76 g, 553.14 mmol),

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109.12 mL) over 2 minutes via graduated cylinder. N-methylmorpholine (661 mg, 6.54 mmoles, 0.719 mL) was added rapidly via pipette. Nitrogen gas again was bubbled through the reaction mixture for a minimum of 5 minutes. The reaction mixture was stirred under N<sub>2</sub> for 67 hours at room temperature. The reaction mixture was monitored for completion by HPLC. Water (50 mL) was added to quench the reaction, and the mixture was stirred at least 15 minutes to allow the molecular sieves to swell. Next, the reaction mixture was filtered through a bed of CELITE™. The layers of the filtrate were separated, then the organic layer was washed with 1:1 brine:-water solution (375 mL). The organic layer was concentrated by rotary evaporation to provide over 200 g of a crude yellow oil. The oil was purified using a silica gel plug by eluting with a gradient starting at 20:1 and going to 9:1 hexanes:EtOAc. Chromatography was necessary to separate the starting materials (R<sub>f</sub>=0.19, 20:1). After concentration under vacuum, a clear oil was obtained (124.3 g, 356 mmole, 71% yield). The purity of the product by HPLC was >97 area% and the product was a racemic mixture by HPLC. An additional 15.02 g was contained in an impure fraction as determined by wt% assay compared to an analytically pure standard. Therefore, the reaction gave a total of 132.32 g of compound (8) (399 mmole, 79% yield). R<sub>f</sub>=0.19 (20:1 hexane:EtOAc). <sup>1</sup>H NMR (CDCl<sub>3</sub>/400 MHz) δ: 7.32-7.27 (m, 3H, Ar-H), 7.14 (d, J=7.8 Hz, 1H, Ar-H), 7.13 (d, J=5.7 Hz, 1H, Ar-H), 5.80-5.68 (m, 1H, CH=CH<sub>2</sub>),

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5.17-4.95 (m, 4H, CH=CH<sub>2</sub>, CH<sub>2</sub>-NO<sub>2</sub>), 4.31 (q, J=7.14 Hz, 1H, -OCH<sub>2</sub>Me), 4.30 (q, J=7.14 Hz, 1H, -OCH<sub>2</sub>Me), 4.23 (q, J=7.14 Hz, 2H, -OCH<sub>2</sub>Me), 4.19 (dd, J=3.07, 7.05 Hz, 1H, Ar-CH), 2.57 (dd, J=6.52, 14.51 Hz, 1H, C-CH<sub>2</sub>), 2.27 (dd, J=8.01, 14.55 Hz, 1H, C=CH<sub>2</sub>), 1.32 (t, J=7.08 Hz, 3H, -CH<sub>3</sub>), 1.27 (t, J=7.08 Hz, 3H, -CH<sub>3</sub>).

**Preparation of 3-Allyl-2-oxo-4-phenyl-pyrrolidine-3-carboxylic acid ethyl ester (9)**

10 To a flask containing compound (8) (120.0 g, 343.46 mmol, 1.00 eq.) was added 190 proof EtOH (1500 mL). Next, concentrated HCl (710.7 mL, 8.65 moles, 25.2 eq.) was cautiously added via an addition funnel. The addition was very exothermic and  
15 the reaction temperature increased from 23°C to 45°C. Zinc dust (211.1 g, 3.23 moles, 9.4 eq.) was added portionwise to maintain a temperature of 45°C to 55°C and monitored the reaction by HPLC. When the reaction was judged complete, the gray suspension was cooled to 0°C. The suspension was diluted  
20 with saturated aqueous NaOAc (720 mL) at 0°C, and the unreacted zinc then was removed by filtration. The filtrate was concentrated to remove EtOH, then diluted with CH<sub>2</sub>Cl<sub>2</sub> (1 L). The organic layer was  
25 washed with saturated aqueous NaOAc (300 mL), then dried over Na<sub>2</sub>SO<sub>4</sub>, and filtered. The organic solution was cooled to -78°C, then DBU (221 mL, 1.48 mol, 4.33 eq.) was added. The resulting solution was stirred at -78°C for 1 hour, then warmed to room  
30 temperature. HPLC analysis showed a greater than



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60:1 ratio of diastereomers. The reaction mixture then was poured into 1N HCl (400 mL) and the layers separated. The aqueous layer was extracted with CH<sub>2</sub>Cl<sub>2</sub> (800 mL). The combined organic layers were washed with brine (500 mL), and the layers were separated. The organic layer was dried over Na<sub>2</sub>SO<sub>4</sub>, filtered, and concentrated. The product (9) was isolated as an oil, which crystallized upon sitting to give 92.07 g (98% yield), 98:2 ratio of desired diastereomer to undesired diastereomer. <sup>1</sup>H NMR (CDCl<sub>3</sub>/400 MHz) δ: 7.33-7.25 (m, 3H, Ar-H), 7.20-7.15 (m, 2H, Ar-H), 6.74 (br s, 1H, N-H), 5.70-5.57 (m, 1H, CH=CH<sub>2</sub>), 4.92 (d, J=10.5 Hz, 1H, CH=CH<sub>2</sub>), 4.84 (dd, J=16.9, 3.13 Hz, 1H, CH=CH<sub>2</sub>), 4.28 (q, J=7.13 Hz, 1H, -OCH<sub>2</sub>Me), 4.27 (q, J=7.13 Hz, 1H, -OCH<sub>2</sub>Me), 4.26 (t, J=6.83 Hz, 1H, Ar-CH), 3.75 (dd, J=7.12, 9.03 Hz, 1H, CH<sub>2</sub>-NO<sub>2</sub>), 3.61 (dd, J=6.35, 9.36 Hz, 1H, CH<sub>2</sub>-NO<sub>2</sub>), 2.41 (dd, J=7.76, 14.5 Hz, 1H, C-CH<sub>2</sub>), 2.26 (dddd, J=1.46, 1.46, 6.68, 14.5 Hz, 1H, C-CH<sub>2</sub>), 1.30 (t, J=7.25 Hz, 3H, -CH<sub>3</sub>).

Compound (7) was subjected to similar conditions as above to yield a single diastereomer of chiral product (9) in 98% yield, 98:2 ratio of desired diastereomer to undesired diastereomer.

25

Obviously, many modifications and variations of the invention as hereinbefore set forth can be made without departing from the spirit and scope thereof, and, therefore, only such limitations should be imposed as are indicated by the appended claims.

30